Mic-power 微电新能源

2025年负责任供应链尽责调查报告
2025Responsible Supply Chain Due Diligence Report

一、 公司简介 Company Profile

广东微电新能源有限公司创立于 2017 年 12 月，是一家专业研发、生产微型锂离子电芯的新型能源生产厂家。公司为电子类设备的能源存储与供给提供了优质的产品及技术设计方案，其广泛适用于：蓝牙耳机、手表以及其他便携式电子设备上。

Guangdong Mic-power New Energy Co.,Ltd was established in December 2017, is a new energy manufacturer specializing in the research, development and production of miniature lithium-ion batteries. The company provides high-quality products and technical design solutions for energy storage and supply of electronic devices, which are widely used in Bluetooth headsets, watches and other portable electronic devices.

二、尽责管理政策Sourcing Policy

为避免使用直接或间接为武装团体提供资金或使他们得益的冲突矿物及/或涉及在高风险和受冲突影响地区进行其他严重侵犯人权行为的冲突矿物，公司制定了供应链政策。本供应链完政策全符合第三版OECD关于来自受冲突影响和高风险区域的矿石的负责任供应链尽职调查指南。它涵盖了经合组织指南附录二中确定的所有风险，适用全球范围。公司承诺一经发现任何附录二风险，将立即处理。本政策经管理层审查和批准，管理层致力于支持本政策的实施。本政策已向相关方(供应商、客户、员工等)广泛传播，可在公司官网 http://www.mic-power.cn.com/查询。

To avoid the use of conflict minerals that directly or indirectly finance or benefit armed groups and/or are involved in other serious human rights abuses in high-risk and conflict-affected areas, the Company has developed a Supply Chain Policy. This Supply Chain Completion Policy is fully compliant with the third edition of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas. It covers all risks identified in Appendix II of the OECD Guidance and applies globally. The Company is committed to addressing any Appendix II risks as soon as they are identified. This policy has

been reviewed and approved by management, which is committed to supporting the implementation of this policy. This policy has been widely disseminated to relevant parties (suppliers, customers, employees, etc.) and is posted on the Company's website http://www.mic-power.cn.com/.

三、公司管理结构 Management Structure

公司任命分管质量副总经理（管理者代表）为尽职调查管理总负责人，负责监督尽职调查计划和风险管理的设计和实施。

由管理者代表协调相关部门（包括采购、品质、销售等）的工作,执行尽职调查计划并报告所发现的任何示警信号和潜在风险。

Mic-power appoints the Vice President of Quality (Managers' Representative) as the overall person responsible for due diligence management, overseeing the implementation of the due diligence program and risk management.

The Managers' Representative coordinates the efforts of the relevant departments (including Purchasing, Quality, Sales, etc.) to implement the Due Diligence program and report any red flags and potential risks identified.

四、 内部控制系统 Management system

1、公司已更新其尽职调查管理系统，使其与OECD 指南和 CCMC指南保持一致。

2、公司向所有上游供应商传达了最新的供应链政策和采购要求。

3、公司通过制定《负责任矿产控制管理办法》、《供应商管理程序》、《采购控制程序》过程控制文件，规范供应商导入和采购过程。在新供应商开发阶段就开展供应商 KYS、EMRT 等资料收集及《责任矿产采购承诺书》、《企业社会责任协议书》的签署。同时公司愿意与下游客户分享供应链信

息。

4、公司已将符合负责任矿产要求与直接供应商达成具有法律效力的协议。

5、建立申诉邮箱，向客户、供应商开放，愿意接受社会各界的监督， 申诉邮箱可在公司官网查询。

6、公司要求与负责任矿物供应链管理相关的所有记录至少保存五年，要求正确使用并储存于各部门数据库中。

7、积极履行社会责任，合规经营。公司始终把企业经济发展与履行社会责任紧密结合起来，保证合法、道德经营前提下通过实现技术创新、安全生产、员工权益、环境节能减排等活动，全面履行社会责任。

1. Mic-power has updated its Due Diligence Management System to align with the OECD Guidelines and CCMC Guidelines.

2. Mic-power has communicated updated supply chain policies and procurement requirements to all upstream suppliers.

3. Mic-power has standardized the supplier introduction and procurement process by establishing the "Responsible Mineral Control Management Method", "Supplier Management Procedures" and "Procurement Control Procedures" documents. At the stage of new supplier development, Mic-power collects information on suppliers such as KYS, EMRT, etc., and signs the Responsible Mineral Purchasing Commitment Letter and Corporate Social Responsibility Agreement. Meanwhile, the company is willing to share supply chain information with downstream customers.

4. Mic-power has reached a legally binding agreement with its direct suppliers to meet the requirements of responsible minerals.

5. The company has established a complaint mailbox, which is open to customers and suppliers, and is willing to accept supervision from all walks of life, and the complaint mailbox can be found on the company's official website.

6.Mic-power requires that all records related to the supply chain management of responsible minerals be kept for at least five years, and that they be used correctly and stored in the databases of each department.

7.Actively fulfill social responsibility and operate in compliance. The company always closely combines the economic development of the enterprise with the fulfillment of social responsibility, and fully fulfills its social responsibility on the premise of legal and ethical operation through the realization of technological innovation,

safe production, employees' rights and interests, environment, energy saving and emission reduction, and other activities.

五、供应链风险的识别和评估Supply chain risk identification and assessment

公司通过制定《责任矿产控制管理办法》，明确CAHRAs 识别方法，参考资源包括海德堡国际冲突研究所“冲突晴雨表”数据、“脆弱国家指数”数据、“人类发展指数”数据、《多德弗兰克法案》中的指明国家、欧盟 CAHRAS List，设置更合理的界限/阈值。

首先将公司的负责任采购政策传达给供应商，并通过问卷调查、与直接供应商沟通等方式收集供应商相关合规性信息、原料信息、尽责管理信息；然后对照CAHRAs、 OFAC 制裁名单、查询供应商公开信息等审查收集的所有信息，从而评估各供应商是否存在尽责管理风险。一旦发现警示信号，公司将与供应商进一步合作，要求上游供应链通过独立第三方审核。

目前责任矿产供应链风险识别结果为低风险。

Mic-power has clarified the methodology for identifying CAHRAs through the development of the Responsible Minerals Control Management Methodology (RMCM), with references to the Conflict Barometer data of the International Institute for Conflict Research (IICR) in Heidelberg, the Fragile States Index (FSI) data, countries specified in the Dodd-Frank Act, the EU CAHRAS List, and more reasonable boundaries/thresholds, ″Human Development Index, countries specified in the Dodd-Frank Act, and the EU CAHRAS List, and set more reasonable boundaries/thresholds.

First, the company communicates its responsible sourcing policy to suppliers and collects compliance, raw material, and due diligence information from suppliers through questionnaires and communication with direct suppliers. Then, the company reviews all the information collected against CAHRAs, OFAC sanctioned lists, and supplier disclosure to assess whether there is any risk of due diligence management at each supplier. Once warning signs are identified, the Company will work further with the supplier to require the upstream supply chain to pass an independent third-party audit.

The current risk identification for the responsible minerals supply chain is low risk.

六、报告范围Scope of the report

本报告的信息和数据主要涵盖了 2025 年 1 月 1 日至 2025 年 12 月 30 日期间公司开展的各项与供应链尽责管理的实践活动。

The information and data in this report primarily covers the period from January 1, 2025 to December 30, 2025 for each of the Company's activities related to Supply Chain Due Diligence Management practices.

Guangdong Mic-power New Energy Co.,Ltd

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